

WOOD, HERRON & EVANS, L.L.P.

BRUCE TITTEL
DONALD F. FREI
DAVID J. JOSEPH
DAVID S. STALLARD
J. ROBERT CHAMBERS
GREGORY J. LUNN
KURT L. GROSSMAN
CLEMENT H. LUKEN, JR.
THOMAS J. BURGER
GREGORY F. AHRENS
WAYNE L. JACOBS
KURT A. SUMME
KEVIN G. ROONEY
KEITH R. HAUPT
THEODORE R. REMAKLUS
THOMAS W. HUMPHREY
SCOTT A. STINEBRUNER
DAVID H. BRINKMAN
BEVERLY A. LYMAN, PH.D.

OF COUNSEL
JOHN D. POFFENBERGER
THOMAS W. FLYNN

2700 CAREW TOWER

441 VINE STREET

CINCINNATI, OHIO 45202-2917

TELEPHONE: 513-241-2324

FACSIMILE: 513-241-6234

EMAIL: info@whepatent.com

PATENT, TRADEMARK, COPYRIGHT
AND UNFAIR COMPETITION LAW
AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968
TRUMAN A. HERRON 1935-1976
EDWARD B. EVANS 1936-1971

JOSEPH R. JORDAN
C. RICHARD EBY
DAVID E. PRITCHARD

J. DWIGHT POFFENBERGER, JR.
KATHRYN E. SMITH
KRISTI L. DAVIDSON
P. ANDREW BLATT, PH.D.
DAVID E. JEFFERIES
WILLIAM R. ALLEN, PH.D.
JOHN PAUL DAVIS
DOUGLAS A. SCHOLER
BRETT A. SCHATZ
DAVID W. DORTON
SARAH OTTE GRABER
WESLEY L. STRICKLAND (VA. DC BAR ONLY)
STEVEN W. BENINTENDI, PH.D.
RANDALL S. JACKSON, JR.

TECHNICAL ADVISORS
HENRY M. LABODA, PH.D.

August 19, 2004

FACSIMILE COVER SHEET

To: Examiner Cao H. Nguyen
Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22213-1450

Fax: 703-746-4000

From: Scott A. Stinebruner

Re: U.S. Patent Application

Serial No. 09/998,168

Filed: November 19, 2001

Allowance Date: August 16, 2004

Applicant: Cary Lee Bates et al.

Art Unit: 2173

Confirmation No.: 7679

Our Ref: IBM/05D

Pages: 6 (including cover sheet)

Enclosures:

Fax Cover Sheet containing Certificate of
Facsimile Transmission (1 page)
Response Under 37 C.F.R. 1.312
containing Certificate of Facsimile
Transmission (1 page)
Copy of 1449 Forms filed on
November 19, 2001 (3 pages)
Copy of Return Postcard with the USPTO
early notification label indicating
receipt by the USPTO of the
11/19/2001 Express Mail No.
EL915035147US (1 page)

MESSAGE/COMMENTS OFFICIAL

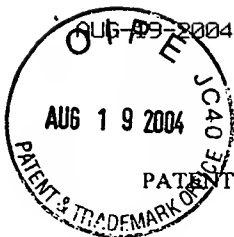
CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence and the enclosures noted herein (6 total pages) are being transmitted via facsimile transmission to Examiner Cao H. Nguyen, Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22213-1450 at 703-746-4000 on August 19, 2004.

Judith L. Volk
Judith L. Volk

August 19, 2004
Date

The information in this facsimile message is ATTORNEY-CLIENT PRIVILEGED, WORK PRODUCT and/or CONFIDENTIAL INFORMATION intended only for the use of the individual or entity to whom this message is addressed. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering it to the intended recipient, you are hereby notified that any dissemination, distribution or reproduction of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone and return the original message to us at the above address via mail. Thank you. If transmission is interrupted or of poor quality, please notify us immediately by calling (513) 241-2324 and ask for the sender's assistant. OUR FAX NUMBER IS (513) 241-6234.



AUG 19 2004 16:34

513 241 6234

513 241 6234 P.02

IBM/05D
Confirmation No. 7679

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cary Lee Bates et al. Art Unit: 2173
Serial No.: 09/998,168 Examiner: Cao H. Nguyen
Filed: November 19, 2001 Atty. Docket No.: IBM/05D
Allowed: August 16, 2004
For: MULTI-NODE USER INTERFACE COMPONENT AND METHOD THEREOF FOR
USE IN PERFORMING A COMMON OPERATION ON LINKED RECORDS

RESPONSE UNDER 37 C.F.R. 1.312

Mail Stop ISSUE FEE
Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22213-1450

Sir:

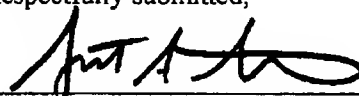
This paper is for the purpose of requesting that the Examiner consider the references cited in the Information Disclosure Statement filed on November 19, 2001 concurrently with the above-identified patent application under Express Mail No. EL915035147US. Applicants have enclosed herewith clean copies of the 1449 Forms (3 pages), and respectfully request that the Examiner review the cited references and return initialed copies of the 1449 Forms to Applicants prior to issuance of the application. A copy of the return postcard with the USPTO early notification label indicating receipt by the United States Patent and Trademark Office (1 page), is also enclosed.

If the Examiner has any questions regarding this foregoing, the Examiner may contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,

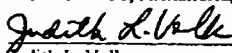
Date

19 AUG 2004


Scott A. Stinebruner
Reg. No. 38,323
WOOD, HERRON & EVANS, L.L.P.
2700 Carew Tower
441 Vine Street
Cincinnati, Ohio 45202
(513) 241-2324

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence and the enclosures noted herein (6 total pages, including cover sheet) are being transmitted via facsimile transmission to Examiner Cao H. Nguyen, Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22213-1450 at 703-746-4000 on August 19, 2004.


Judith L. Volk Date August 19, 2004

Page 1 of 1
Serial No. 09/998,168
Response Under C.F.R. 1.312 of 08/19/2004
Re: Notice of Allowance of 08/16/2004
IBM Docket RO997069LD
WH&E IBM/05D
K:\ibm\05D\312 Response.wpd

Express Mail No. EL915035147US

Sheet 1 (A) of 3

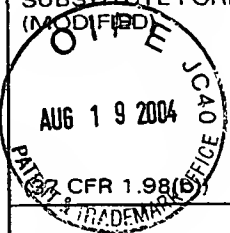
SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. IBM/05D (R0997069LD)		SERIAL NO. FILED HEREWITH							
		INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT Cary Lee Bates et al.							
		(Use several sheets if necessary)				FILING DATE November 19, 2001							
(37 CFR 1.091(b))		GROUP Unknown											
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	5	5	2	6	4	7	8	6/11/96	Russell, Jr. et al.	395	154	6/30/94
	A.B	5	5	5	9	9	4	2	9/24/96	Gough et al.	395	155	5/10/93
	A.C	5	6	4	4	7	4	0	7/1/97	Kiuchi	395	357	11/30/93
	A.D	5	7	2	6	6	8	7	3/10/98	Belfiore et al.	345	341	11/13/96
	A.E	5	7	3	9	8	2	1	4/14/98	Ho et al.	345	340	1/30/97
	A.F	5	7	4	5	7	3	7	4/28/98	Kayes et al.	395	500	3/28/96
	A.G	5	7	5	4	1	6	1	5/19/98	Noguchi et al.	345	123	7/14/95
	A.H	5	8	3	5	0	9	4	11/10/98	Ermel et al.	345	355	5/7/97
	A.I	5	8	7	4	9	6	1	2/23/99	Bates et al.	345	341	3/19/97
	A.J	5	8	7	7	7	6	6	3/2/99	Bates et al.	345	854	8/15/97
	A.K	4	8	7	8	2	2	3	3/2/99	Becker et al.	395	200.53	5/7/97
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)						
	A.L												
	A.M												
	A.N												
	A.O												
	A.P												
	A.Q												
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)													
	A.R	Gartner, "Microsoft IE 4.0 Meets the Press", TechWire Website, 6/24/97.											
	A.S	"Anawave WebSnake Tutorial - Home", http://www.websnake.com , downloaded 5/21/97.											
	A.T	"Anawave WebSnake Tutorial - Mirroring", http://www.websnake.com/snakemirroring.html , downloaded 5/21/97.											
EXAMINER					DATE CONSIDERED								
EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.													

Substitute Disclosure Form (PTO-1449)

Sheet 2 (B) of 3

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE										ATTY. DOCKET NO. IBM/05D (R0997069LD)		SERIAL NO. FILED HEREWITH		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)										APPLICANT Cary Lee Bates et al.				
(37 CFR 1.98(b))										FILING DATE November 19, 2001		GROUP Unknown		
U.S. PATENT DOCUMENTS														
EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	B.A	5	9	7	7	9	7	2	11/2/99	Bates et al.	345	341	8/15/97	
	B.B	6	0	7	2	4	9	0	6/6/00	Bates et al.	345	809	1/8/99	
	B.C	6	1	8	8	4	0	5	2/13/01	Czerwinski et al.	345	339	9/14/98	
	B.D													
	B.E													
	B.F													
	B.G													
	B.H													
	B.I													
	B.J													
	B.K													
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS														
		DOCUMENT NUMBER			PUBLICATION DATE			COUNTRY OR PATENT OFFICE			CLASS	SUBCLASS	TRANSLATION (YES/NO)	
	B.L													
	B.M													
	B.N													
	B.O													
	B.P													
	B.Q													
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)														
	B.R	"Windows 95.com Offline Browser Tools", http://www.windows95.com/apps/offline.html , downloaded 5/21/97.												
	B.S	"SiteHog - The Web Site Validation Tool", http://www.compulink.co.uk/~allied-display/redhog/sitehog.html , downloaded 5/21/97.												
	B.T	"What is WebWilly", http://www.webwilly.com/power/game2.shtml , downloaded 5/21/97.												
EXAMINER										DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.														

Substitute Disclosure Form (PTO-1449)

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE 					ATTY. DOCKET NO. IBM/05D (R0997069LD)		SERIAL NO. FILED HEREWITH	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)					APPLICANT Cary Lee Bates et al.			
37 CFR 1.98(b)					FILING DATE November 19, 2001		GROUP Unknown	
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	C.A							
	C.B							
	C.C							
	C.D							
	C.E							
	C.F							
	C.G							
	C.H							
	C.I							
	C.J							
	C.K							
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)	
	C.L							
	C.M							
	C.N							
	C.O							
	C.P							
	C.Q							
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)								
	C.R	*Microsoft® FrontPage 97 with Bonus Pack*, http://www.microsoft.com/frontpage/brochure/features.htm , downloaded 5/21/97.						
	C.S	Cowart, Robert, <u>Mastering Windows™ 3.1</u> , Special Edition, Sybeck, (1993) pp. 105-140.						
	C.T							
EXAMINER					DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.								

Substitute Disclosure Form (PTO-1449)



Express Mail No.: EL915035147US

Date: November 19, 2001

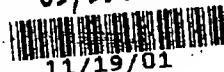
Atty. Docket: IBM/OSD
RO997069LD

Applicant: Cary Lee Bates et al.

Title: MULTI-NODE USER INTERFACE COMPONENT AND METHOD THEREOF FOR USE IN
PERFORMING A COMMON OPERATION ON LINKED RECORDS

The stamp of the Patent Office hereon, may be taken as acknowledging the receipt, on the date stamped, of the following:

- ☒ Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- ☒ Return Postcard.
- ☒ Utility Patent Application, with: cover sheet, 75 page(s) specification (including 24 total claim(s), of which 3 is(are) independent), and 1 page(s) abstract.
- ☒ Drawings: 23 sheet(s) of formal drawings (50 total figure(s)).
- ☒ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☒ Information Disclosure Statement, 3 sheet(s) Form PTO-1449, 1 U.S. Patent Reference.
- ☒ A Check of \$812.00 for the filing fee.

jc760 U.S. PTO
09/998168

11/19/01

SAS/jlv

Wood, Herron & Evans, L.L.P.